# CHAPTER 4 The class File Format

**T**HIS chapter describes the Java virtual machine class file format. Each class file contains the definition of a single class or interface. Although a class or interface need not have an external representation literally contained in a file (for instance, because the class is generated by a class loader), we will colloquially refer to any valid representation of a class or interface as being in the class file format.

A class file consists of a stream of 8-bit bytes. All 16-bit, 32-bit, and 64bit quantities are constructed by reading in two, four, and eight consecutive & bit bytes, respectively. Multibyte data items are always stored in big-endian order, where the high bytes come first. In the Java and Java 2 platforms, this format is supported by interfaces java.io.Datalnput and java.io.DataOutput and classes such as java.io.DatalnputStream and java.io.DataOutputStream.

This chapter defines its own set of data types representing class filedata: The types u1, u2, and u4 represent an unsigned one-, two-, or four-byte quantity, respectively. In the Java and Java 2 platforms, these types may be read by methods such as readUnsi gnedByte, readUnsi gnedShort, and readInt of the interface java.io.DataInput.

This chapter presents the class file format using pseudostructures written in a C-like structure notation. To avoid confusion with the fields of classes and class instances, etc., the contents of the structures describing the class file format are referred to as *items*. Successive items are stored in the class file sequentially, without padding or alignment.

*Tables*, consisting of zero or more variable\_sized items, are used in several class file structures. Although we use C-like array syntax to refer to table items, the fact that tables are streams of varying-sized structures means that it is not possible to translate a table index directly to a byte offset into the table.

Where we refer to a data structure as an array, it consists of zero or more contiguous fixed-sized items and can be indexed like an array.

# 4.1 The Class File Structure

A class file consists of a single Class File structure:

ClassFile {
 u4 magic;
 u2 minor version; u2 major-version;
 u2 constant\_pool\_count;
 cp\_info constant\_pool[constant\_pool\_count-1];
 u2 access\_flags;
 u2 this\_class; u2 super\_class;
 u2 interfaces\_count;
 u2 interfaces[interfaces\_count];
 u2 fields\_count;
 field\_info fields[fields\_count];
 u2 methods\_count;
 method-info methods[methods\_count];
 u2 attributes\_count;
 attribute\_info attributes[attributes\_count];

The items in the ClassFile structure are as follows:

#### magi c

The magic item supplies the magic number identifying the class file format; it has the value OxCAFEBABE.

#### minor version, major version

The values of the **minor\_version** and **major\_version** items are the minor and major version numbers of this class file.Together, a major and a minor version number determine the version of the **class** file format. If a class file has major version number M and minor version number m, we denote the version of its class file format as Mm. Thus, class file format versions may be ordered lexicographically, for example, 1.5 < 2.0 < 2.1.

A Java virtual machine implementation can support a class file format of version v if and only if v lies in some contiguous range Mi.0 <= v <= Mj.m. Only Sun can specify what range of versions a Java virtual machine implementation conforming to a certain release level of the Java platform may support.

#### constant\_pool\_count

The value of the constant\_pool \_count item is equal to the number of entries in the constant\_pool table plus one. A constant\_pool index is considered valid if it is greater than zero and less than constant\_pool \_count, with the exception for constants of type long and Double noted in §4.4.5.

## constant\_pool[]

The constant\_pool is a table of structures (§4.4) representing various string constants, class and interface names, field names, and other constants that are referred to within the Class File structure and its substructures. The format of each constant\_pool table entry is indicated by its first "tag" byte.

The constant\_pool table is indexed from 1 to constant\_pool\_count-1.

#### access\_flags

The value of the **access\_flags** item is a mask of flags used to denote access permissions to and properties of this class or interface. The interpretation of each flag, when set, is as shown in Table 4.1.

<sup>&</sup>lt;sup>1</sup> The Java virtual machine implementation of Sun's JDK release 1.0.2 supports class file format versions 45.0 through 45.3 inclusive. Sun's JDK releases 1.I.X can support class file formats of versions in the range 45.0 through 45.65535 inclusive. Implementations of version 1.2 of the Java 2 platform can support class file formats of versions in the range 45.0 through 46.0 inclusive.

Flag Name	Value	Interpretation
ACC_PUBLIC	0x0001	Declared public; may be accessed from outside its package.
ACC_FINAL	0x0010	Declared final; no subclasses allowed.
ACC_SUPER	0x0020	Treat superclass methods specially when invoked by the <i>invokespecial</i> instruction.
ACC_INTERFACE	0x0200	Is an interface, not a class.
ACC_ABSTRACT	0x0400	Declared abstract; may not be instanti ated.

Table 4.1 Class access and property modifiers

An interface is distinguished by its ACC\_INTERFACE flag being set. If its ACC\_INTERFACE flag is not set, this class file defines a class, not an interface.

If the ACC\_INTERFACE flag of this class file is set, its ACC\_ABSTRACT flag must also be set (§2.13.1) and its ACC\_PUBLIC flag may be set. Such a class file may not have any of the other flags in Table 4.1 set.

If the ACC\_INTERFACE flag of this class file is not set, it may have any of the other flags in Table 4.1 set. However, such a class file cannot have both its ACC\_ FINAL and ACC\_ABSTRACT flags set (§2.8.2).

The setting of the ACC\_SUPER flag indicates which of two alternative semantics for its *invokespecial* instruction the Java virtual machine is to express; the ACC\_SUPER flag exists for backward compatibility for code compiled by Sun's older compilers for the Java programming language. All new implementations of the Java virtual machine should implement the semantics for *invokespecial* documented in this specification. All new compilers to the instruction set of the Java virtual machine should set the ACC\_SUPER flag. Sun's older compilers generated ClassFile flags with ACC\_SUPER unset. Sun's older Java virtual machine implementations ignore the flag if it is set.

All bits of the access\_flags item not assigned in Table 4.1 are reserved for future use. They should be set to zero in generated class files and should be ignored by Java virtual machine implementations.

#### This\_class

The value of the this\_class item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Class\_info (§4.4.1) structure representing the class or interface defined by this class file.

#### super\_class

For a class, the value of the super\_class item either must be zero or must be a valid index into the constant\_pool table. If the value of the super\_class item is nonzero, the constant\_pool entry at that index must be a CONSTANT\_Class\_info (§4.4.1) structure representing the direct superclass of the class defined by this class file. Neither the direct superclass nor any of its superclasses maybe a final class.

If the value of the super\_class item is zero, then this class file must represent the class Object, the only class or interface without a direct superclass.

For an interface, the value of the super\_c1 ass item must always be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a constant\_Class\_info structure representing the class Object.

#### Interfaces\_count

The value of the interfaces\_count item gives the number of direct superinterfaces of this class or interface type.

#### interfaces[]

Each value in the interfaces array must be a valid index into the constant\_pool table. The constant\_pool entry at each value of interfaces [i], where <sup>0</sup> i < interfaces\_count, must be a constant\_class\_info (§4.4.1) structure representing an interface that is a direct superinterface of this class or interface type, in the left-to-right order given in the source for the type.

#### fields\_count

The value of the fields\_count item gives the number of

field\_info structures in the fields table. The field\_info (§4.5) structures represent all fields, both class variables and instance variables, declared by this class or interface type.

fields[]

Each value in the fields table must be a field\_info (\$4.5) structure giving a complete description of a field in this class or interface. The fields table includes only those fields that are declared by this class or interface. It does not include items representing fields that are inherited from superclasses or superinterfaces.

methods\_count

The value of the methods\_count item gives the number of method\_info structures in the methods table.

methods[]

Each value in the methods table must be a method\_info (§4.6) structure giving a complete description of a method in this class or interface. If the method is not native or abstract, the Java virtual machine instructions implementing the method are also supplied.

The method\_info structures represent all methods declared by this class or interface type, including instance methods, class (static) methods, instance initialization methods (§3.9), and any class or interface initialization method (§3.9). The methods table does not include items representing methods that are inherited from superclasses or superinterfaces.

attributes\_count

The value of the attributes\_count item gives the number of attributes (§4.7) in the attributes table of this class.

attributes[]

Each value of the attributes table must be an attribute structure (§4.7).

The only attributes defined by this specification as appearing in the attributes table of a ClassFile structure are the SourceFile attribute (§4.7.7) and the Deprecated (§4.7.10) attribute.

A Java virtual machine implementation is required to silently ignore any or all attributes in the attributes table of a ClassFile structure that it does not recognize. Attributes not defined in this specification are not allowed to affect the

semantics of the class file, but only to provide additional descriptive information (§4.7.1).

# 4.2 The Internal Form of Fully Qualified Class and Interface Names

Class and interface names that appear in class file structures are always represented in a fully qualified form (§2.7.5). Such names are always represented as CONSTANT\_Utf8\_info (§4.4.7) structures and thus may be drawn, where not further constrained, from the entire Unicode character set. Class names and interfaces are referenced both from those CONSTANT NameAndType\_info (§4.4.6) structures that have such names as part of their descriptor (§4.3) and from all CONSTANT\_Class\_info (§4.4.1) structures.

For historical reasons the syntax of fully qualified class and interface names that appear in class file structures differs from the familiar syntax of fully qualified names documented in §2.7.5. In this internal form, the ASCII periods ('.') that normally separate the identifiers that make up the fully qualified name are replaced by ASCII forward slashes ('/). ). For example, the normal fully qualified name of class **Thread is java.lang. Thread.** In the form used in descriptors in the class file format, a reference to the name of class **Thread is** implemented using a **CONSTANT\_Utf8\_info** structure representing the string "java/lang/Thread".

# 4.3 Descriptors

A *descriptor* is a string representing the type of a field or method. Descriptors are represented in the class file format using UTF-8 strings (§4.4.7) and thus may be drawn, where not further constrained, from the entire Unicode character set.

### 4.3.1 Grammar Notation

Descriptors are specified using a grammar. This grammar is a set of productions that describe how sequences of characters can form syntactically correct descriptors of various types. Terminal symbols of the grammar are shown in **bold fixed-width** font. Nonterminal symbols are shown in *italic* type. The definition of a nonterminal is introduced by the name of the nonterminal being defined, followed by a colon.

One or more alternative right-hand sides for the nonterminal then follow on succeeding lines. For example, the production:

FieldType: BaseType ObjectType ArrayType

states that a FieldType may represent either a BaseType, an Object Type, or an ArrayType.

A nonterminal symbol on the right-hand side of a production that is followed by an asterisk (\*) represents zero or more possibly different values produced from that nonterminal, appended without any intervening space. The production:

MethodDescriptor:

( ParameterDescriptor\* ) ReturnDescriptor

states that *a MethodDescriptor* represents a left parenthesis, followed by zero or more *ParameterDescriptor* values, followed by a right parenthesis, followed by a *ReturnDescriptor*.

## **4.3.2 Field Descriptors**

*Afield descriptor* represents the type of a class, instance, or local variable. It is a series of characters generated by the grammar:

FieldDescriptor: FieldType Component Type: FieldType FieldType: BaseType Object Type ArrayType BaseType: B C D F I

```
J
S
Z
Object Type:
L<classname>;
ArrayType:
[ComponentType
```

The characters of *BaseType*, the  $_{\rm L}$  and ; of *ObjectType*, and the [ of *ArrayType* are all ASCII characters. The **<class**\_name> represents a fully qualified class or interface name. For historical reasons it is encoded in internal form (§4.2).

The interpretation of the field types is as shown in Table 4.2.

BaseType Character		Interpretation
В	byte	signed byte
	char	Unicode character
D	double	Double_precision floating-point value
	float	single-precision floating-point value
Ι	int	integer
	long	long integer
L <classname>;</classname>	reference	an instance of class <classname></classname>
S	short	signed short
Z	boolean	true Of false
(	reference	one array dimension

Table 4.2 Interpretation of BaseType characters

For example, the descriptor of an instance variable of type int is simply I. The descriptor of an instance variable of type Object is Ljava/lang/Object;. Note that the internal form of the fully qualified name for class Object is used. The descriptor of an instance variable that is a multidimensional double array,

double d[][][];

is

#### 4.3.3 Method Descriptors

A method descriptor represents the parameters that the method takes and the value that it returns: MethodDescriptor:

( ParameterDescriptor\* ) ReturnDescriptor

A parameter descriptor represents a parameter passed to a method:

ParameterDescriptor:

FieldType

A return descriptor represents the type of the value returned from a method. It is a series of characters generated by the grammar:

ReturnDescriptor: FieldType V

The character V indicates that the method returns no value (its return type is

void).

A method descriptor is valid only if it represents method parameters with a total length of 255 or less, where that length includes the contribution for this in the case of instance or interface method invocations. The total length is calculated by summing the contributions of the individual parameters, where a parameter of type long or double contributes two units to the length and a parameter of any other type contributes one unit.

For example, the method descriptor for the method

```
Object mymethod(int i, double d, Thread t)
```

is

# (IDLjava/lang/Thread;)Ljava/lang/Object;

Note that internal forms of the fully qualified names of Thread and Object are used in the method descriptor. The method descriptor for mymethod is the same whether mymethod is a class or an instance method. Although an instance method is passed this, a reference to the current class instance, in addition to its intended parameters, that fact is not reflected in the method descriptor. (A reference to this is not passed to a class method.) The reference to this is passed implicitly by the method invocation instructions of the Java virtual machine used to invoke instance methods.

# **4.4 The Constant Pool**

Java virtual machine instructions do not rely on the runtime layout of classes, interfaces, class instances, or arrays. Instead, instructions refer to symbolic information in the **constant\_pool** table.

```
All constant_pool table entries have the following general format:
```

Each item in the  $constant_pool$  table must begin with a 1-byte tag indicating the kind of cp\_info entry. The contents of the info array vary with the value of tag. The valid tags and their values are listed in Table 4.3. Each tag byte must be followed by two or more bytes giving information about the specific constant. The format of the additional information varies with the tag value.

Constant 1'ype	Value
CONSTANT_Cl ass	7
CONSTANT_Fi el dref	9
CONSTANT_Methodref	10
CONSTANT_InterfaceMethodref	11
CONSTANT_String	8
CONSTANT_Integer	3
CONSTANT_Float	4
CONSTANT_Long	5
CONSTANT_Doubl e	6
CONSTANT_NameAndType	12
CONSTANT_Utf8	1

 Table 4.3 Constant pool tags

The CONSTANT\_Class\_info structure is used to represent a class or an interface:

```
CONSTANT_Class-info {
    u1 tag;
    u2 name_index;
}
```

The items of the CONSTANT\_Class\_info structure are the following:

tag

The tag item has the value CONSTANT\_Class (7).

name\_index

The value of the name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing a valid fully qualified class or interface name (§2.8.1) encoded in internal form (§4.2).

Because arrays are objects, the opcodes *anewarray* and *multianewarray* can reference array "classes" via CONSTANT\_Class-info (§4.4.1) structures in the constant\_pool table. For such array classes, the name of the class is the descriptor of the array type. For example, the class name representing a two-dimensional int array type

```
int [] []
```

is

[[I

The class name representing the type array of class Thread

Thread[]

is

```
[Ljava/lang/Thread;
```

An array type descriptor is valid only if it represents 255 or fewer dimensions.

# 4.4 The CONSTANT\_Fieldref\_info, CONSTANT\_Methodref\_info, and CONSTANT\_InterfaceMethodref\_info Structures

Fields, methods, and interface methods are represented by similar structures:

```
CONSTANT_Fieldref_info {
ul tag;
u2 class_index;
u2 name_and_type_index;
}
CONSTANT_Methodref_info { ul tag;
u2 class_index;
u2 name_and_type_index;
}
CONSTANT_InterfaceMethodref_info { ul tag;
u2 class_index;
u2 name_and_type_index;
}
```

The items of these structures are as follows:

### tag

The tag item of a CONSTANT\_Fieldref\_info structure has the value CONSTANT\_Fieldref (9).

The tag item of a CONSTANT\_Methodref\_info structure has the value CONSTANT\_Methodref (10).

The tag item of a CONSTANT\_InterfaceMethodref\_info structure has the value CONSTANT\_InterfaceMethodref (11).

class\_index

The value of the class\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Class\_info (§4.4.1) structure representing the class or interface type that contains the declaration of the field or method.

The class\_index item of a CONSTANT\_Methodref\_info structure must be a class type, not an interface type. The class\_index item of a CONSTANT\_InterfaceMethodref\_info structure must be an interface type. The class\_index item of a CONSTANT\_Fielddref\_info structure may be either a class type or an interface type.

```
name_and_type_index
```

The value of the name\_and\_type\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CON STANT\_NameAndType\_info (§4.4.6) structure. This constant\_pool entry indicates the name and descriptor of the field or method. In a CONSTANT\_Fieldref\_info the indicated descriptor must be a field descriptor (§4.3.2). Otherwise, the indicated descriptor must be a method descriptor (§4.3.3).

If the name of the method of a CONSTANT\_Methodref\_info structure begins with a'<' ('  $\0$ , then the name must be the special name <init>, representing an instance initialization method (§3.9). Such a method must return no value.

# 4.4.3 The CONSTANT\_String\_info Structure

The CONSTANT\_String\_info structure is used to represent constant objects of the type String:

```
CONSTANT_String_info {
    ul tag;
    u2 String_index;
}
```

The items of the CONSTANT\_String\_info structure are as follows:

tag

The tag item of the CONSTANT\_String\_info structure has the value CONSTANT\_String (8).

String\_index

The value of the String\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that

index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing the sequence of characters to which the String object is to be initialized.

#### 4.4.4 The CONSTANT\_Integer-info' and CONSTANT\_Float\_info Structures

The CONSTANT\_Integer\_info and CONSTANT\_Float\_info structures represent 4byte numeric (int and Float) constants:

```
CONSTANT_Integer-info {
    ul tag;
    u4 bytes;
}
CONSTANT_Float_info {
    ul tag;
    u4 bytes;
}
```

The items of these structures are as follows:

tag

```
The tag item of the CONSTANT_Integer_info structure has the value CONSTANT_Integer (3).
```

The tag item of the <code>CONSTANT\_Float\_info</code> structure has the value <code>CONSTANT\_Float(4)</code>.

bytes

The bytes item of the CONSTANT\_Integer\_info structure represents the value of the int constant. The bytes of the value are stored in big-endian (high byte first) order.

The bytes item of the CONSTANT\_Float\_info structure represents the value of the Float constant in IEEE 754 floating point single format (§3.3.2). The bytes of the single format representation are stored in big-endian (high byte first) order.

The value represented by the CONSTANT\_Float\_info structure is determined as follows. The bytes of the value are first converted into an int constant *bits*. Then:

- If *bits is* 0x7f800000, the Float value will be positive infinity.
- If *bits is* 0xff800000, the Float value will be negative infinity.

```
If bits is in the range 0x7f800001 through 0x7ffffffff or in the range 0xff800001 through 0xffffffff, the Float value will be NaN.
In all other cases, let s, e, and m be three values that might be computed from bits:
int s = ((bits * 31) == 0) ? 1: -1; int e = ((bits * 23) & 0xff) ; int m = (e == 0) ?
(bits & 0x7fffff) « 1
(bits & 0x7fffff) 10x800000;
Then the Float value equals the result of the mathematical expression s • m • 2 e - 150
```

#### 4.4.5 The CONSTANT\_ Long\_ info and CONSTANT\_Double\_info Structures

The CONSTANT\_Long\_info and CONSTANT\_Double\_info represent 8-byte numeric (1 ong and Double) constants:

```
CONSTANT_Long_info {
    u1 tag;
    u4 high-bytes;
    u4 low_bytes;
}
CONSTANT_Double_info {
    u1 tag;
    u4 high-bytes;
    u4 low_bytes;
}
```

All 8-byte constants take up two entries in the  $constant_pool$  table of the class file. If a CONSTANT\_Long\_info or CONSTANT\_Double\_info structure is the item in the constant\_pool table at index n, then the next usable item in the pool is located at index n +2. The constant\_pool index n+1 must be valid but is considered unusable.<sup>2</sup>

The items of these structures are as follows:

 $^2\,$  In retrospect, making 8-byte constants take two constant pool entries was a poor choice

tag

The tag item of the CONSTANT\_Long\_info structure has the value CONSTANT\_Long (5).

The tag item of the CONSTANT\_Double\_info structure has the value CONSTANT\_Double(6).

```
high_bytes,low_bytes
```

The unsigned high\_bytes and low\_bytes items of the CONSTANT\_Long\_info structure together represent the value of the long constant ((long) high\_bytes << 32) + low\_bytes, where the bytes of each of high\_bytes andiow-bytes are stored in big-endian (high byte first) order.

The high\_bytes and low\_bytes items of the CONSTANT\_Double\_info structure together represent the Double value in IEEE 754 floating-point double format (§3.3.2). The bytes of each item are stored in big-endian (high byte first) order.

The value represented by the CONSTANT\_Double\_info structure is determined as follows. The high\_bytes and

 $low_bytes$ ; items are first converted into the long constant *bits*, which is equal to ((1 ong) high\_bytes « 32) + low\_bytes. Then:

• If bits is Ox7ff0000000000L, the double value will be positive infinity.

• If bits is 0xfff00000000000L, the double value will be negative infinity.

• In all other cases, let s, e, and m be three values that might be computed from *bits:* 

```
int s = ((bits » 63) == 0) ? 1 : -1; int e = (int) ((bits » 52) &
0x7ffL) ; long m = (e == 0) ?
    (bits & 0xfffffffffffffffff) « 1
    (bits & 0xfffffffffffffffffff) 10x10000000000L;
```

Then the floating-point value equals the double value of the mathematical expression s<sup>•</sup> m<sup>•</sup> 2 e -1075

#### 4.4.6 The CONSTANT\_NameAndType\_info Structure

The CONSTANT\_NameAndType\_info structure is used to represent a field or method, without indicating which class or interface type it belongs to:

```
CONSTANT_NameAndType_info {
    ul taq;
    u2 name_index;
    u2 descriptor_index;
```

The items of the CON STANT\_NameAndType\_info structure are, as follows:

tag

}

The tag item of the CONSTANT\_NameAndType\_info structure has the value CONSTANT\_NameAndType (12).

name\_index

The value of the name index item must be a valid index into the constant pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing either a valid field or method name (§2.7) stored as a simple name (§2.7.1), that is, as a Java programming language identifier (§2.2) or as the special method name  $\langle init \rangle$  (§3.9).

descriptor\_index

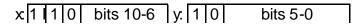
The value of the descriptor\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing a valid field descriptor (§4.3.2) or method descriptor (§4.3.3).

# 4.4.7 The CONSTANT\_Utf8\_info Structure

The CONSTANT\_Utf8\_info structure is used to represent constant string values. UTF-8 strings are encoded so that character sequences that contain only nonnull ASCII characters can be represented using only 1 byte per character, but characters of up to 16 can be represented. All characters in the range '\u0001' to 'u007F' are represented by a single byte:



The 7 bits of data in the byte give the value of the character represented. The null character (' 100000) and characters in the range '10080' to '107FF' are represented by a pair of bytes x and y:



The bytes represent the character with the value ((x & Ox1f) & 6) + (y & 0x3f). Characters in the range '\u0800' to '\uFFFF' are represented by 3 bytes x, y, and z:

The character with the value  $((x \& Oxf) \ll 12) + ((y \& Ox3f) \ll 6) + (z \& Ox3f)$  is represented by the bytes.

The bytes of multibyte characters are stored in the class file in big-endian (high byte first) order.

There are two differences between this format and the "standard" UTF-8 format. First, the null byte (byte) 0 is encoded using the 2-byte format rather than the 1-byte format, so that Java virtual machine UTF-8 strings never have embedded nulls. Second, only the 1-byte, 2-byte, and 3-byte formats are used. The Java virtual machine does not recognize the longer UTF-8 formats.

For more information regarding the UTF-8 format, see File System Safe UCS Transformation Format (FSS\_UTF), X/Open Preliminary Specification (X/Open Company Ltd., Document Number: P316). This information also appears in ISO/ IEC 10646, Annex P.

```
The CONSTANT_Utf8_info structure is
CONSTANT_Utf8_info {
    ul tag;
    u2 length;
    ul bytes[length];
}
The items of the CONSTANT_Utf8_info structure
    are the following:
```

The tag item of the CONSTANT\_Utf8\_info structure has the value CONSTANT\_Utf8 (1).

length

The value of the length item gives the number of bytes in the bytes array (not the length of the resulting string). The strings in the **CONSTANT\_Utf8\_info** structure are not null-terminated.

bytes []

The bytes array contains the bytes of the string. No byte may have the value (byte) 0 or lie in the range (byte) 0xf0 - (byte) 0xff.

# 4.5 Fields

Each field is described by a **field\_info** structure. No two fields in one class file may have the same name and descriptor (§4.3.2). The format of this structure is

```
field_info {
    u2 access_flags;
    u2 name_index;
    u2 descriptor_index;
    u2 attributes_count;
    attribute_info attributes[attributes_count];
}
```

The items of the field\_info structure are as follows:

access\_flags

The value of the <sup>access\_flags</sup> item is a mask of flags used to denote access permission to and properties of this field. The interpretation of each flag, when set, is as shown in Table 4.4.

Fields of classes may set any of the flags in Table 4.4. However, a specific field of a class may have at most one of its ACC\_PRIVATE, ACC\_PROTECTED, and ACC\_PUBLIC flags set (§2.7.4) and may not have both its ACC\_FINAL and ACC\_VOLATILE flags set (§2.9.1).

Flag Name	Value	Interpretation
ACC_PUBLIC	0x0001	Declared publ i c; may be accessed from outside its package.
ACC_PRI VATE	0x0002	Declared <b>private</b> ; usable only within the defining class.
ACC_PROTECTED	0x0004	Declared <b>protected</b> ; maybe accessed within subclasses.
ACC_STATIC	0x0008	Declared static.
ACC_FINAL	0x0010	Declared <b>final</b> ; no further assignment after initialization.
ACC_VOLATI LE	0x0040	Declared <b>volatile</b> ; cannot be cached.
ACC_TRANSI ENT	0x0080	Declared <b>transi ent</b> ; not written or read by a persistent object manager.

Table 4.4 Field access and property flag
--

Fields of classes may set any of the flags in Table 4.4. However, a specific field of a class may have at most one of its ACC\_PRIVATE, ACC\_PROTECTED, and ACC\_PUBLIC flags set (§2.7.4) and may not have both its ACC\_FINAL and ACC\_VOLATILE flags set (§2.9.1).

All fields of interfaces must have their ACC\_PUBLIC, ACC\_STATIC, and ACC\_FINAL flags set and may not have any of the other flags in Table 4.4 set (§2.13.3.1).

All bits of the access\_flags item not assigned in Table 4.4 are reserved for future use. They should be set to zero in generated class files and should be ignored by Java virtual machine implementations.

#### name\_i ndex

The value of the name\_index item must be a valid index into the **constant\_pool** table. The **constant\_pool** entry at that index must be a **CONSTANT\_Utf8\_info** (§4.4.7) structure which must represent a valid field name (§2.7) stored as a simple name (§2.7.1), that is, as a Java programming language identifier (§2.2). descriptor\_index

The value of the descriptor\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure that must represent a valid field descriptor (§4.3.2).

attributes\_count

The value of the attributes\_count item indicates the number of additional attributes (§4.7) of this field.

attributes[]

Each value of the attributes table must be an attribute structure (§4.7). A field can have any number of attributes associated with it.

The attributes defined by this specification as appearing in the

attributes table of a field\_info structure are the ConstantVal ue ( $\S4.7.2$ ), Synthetic ( $\S4.7.6$ ), and Deprecated ( $\S4.7.10$ ) attributes.

A Java virtual machine implementation must recognize and correctly read ConstantVal ue (§4.7.2) attributes found in the attributes table of a field\_info structure. A Java virtual machine implementation is required to silently ignore any or all other attributes in the attributes table that it does not recognize. Attributes not defined in this specification are not allowed to affect the semantics of the class file, but only to provide additional descriptive information (§4.7.1).

## 4.6 Methods

Each method, including each instance initialization method (§3.9) and the class or interface initialization method (§3.9), is described by a method\_info structure. No two methods in one class file may have the same name and descriptor (§4.3.3).

The structure has the following format:

```
method-info {
    u2 access_flags;
    u2 name_index;
    u2 descriptor_index;
    u2 attributes_count;
    attribute_info attributes[attributes_count];
```

}

The items of the **method\_info** structure are as follows:

# access\_flags

The value of the access\_flags item is a mask of flags used to denote access permission to and properties of this method. The interpretation of each flag, when set, is as shown in Table 4.5.

Flag Name	Value	Interpretation
ACC_PUBLIC	0x0001	Declared <b>public</b> ; may be accessed from outside its package.
ACC_PRI VATE	0x0002	Declared <b>private</b> ; accessible only within the defining class.
ACC_PROTECTED	0x0004	Declared <b>protected</b> ; may be accessed within subclasses.
ACC_STATI C	0x0008	Declared static.
ACC_FINAL	0x0010	Declared <b>final</b> ; may not be overrid den.
ACC_SYNCHRONI ZED	0x0020	Declared <b>synchroni zed</b> ; invocation is wrapped in a monitor lock.
ACC_NATI VE	0x0100	Declared <b>native</b> ; implemented in a language other than Java.
ACC_ABSTRACT	0x0400	Declared <b>abstract</b> ; no implementa tion is provided.
ACC_STRI CT	0x0800	Declared <b>strictfp</b> ; floating-point mode is FP-strict

Table 4.5 Method access and property flags
--

Methods of classes may set any of the flags in Table 4.5. However, a specific method of a class may have at most one of its

ACC\_PRIVATE, ACC\_PROTECTED, and ACC\_PUBLIC flags set

(§2.7.4). If such a method has its ACC\_ABSTRACT flag set it may not have any of its ACC\_FINAL, ACC\_NATIVE, ACC\_PRIVATE, ACC\_STATIC, ACC\_STRICT, or ACC\_SYNCHRONIZED flags set (§2.13.3.2).

All interface methods must have their ACC\_ABSTRACT and ACC\_PUBLIC flags set and may not have any of the other flags in Table 4.5 set (§2.13.3.2).

A specific instance initialization method (§3.9) may have at most one of its ACC\_PRIVATE, ACC\_PROTECTED, and ACC\_PUBLIC flags set and may also have its ACC\_STRICT flag set, but may not have any of the other flags in Table 4.5 set.

Class and interface initialization methods (§3.9) are called implicitly by the Java virtual machine; the value of their access\_flags item is ignored except for the settings of the ACC\_STRICT flag.

All bits of the access\_flags item not assigned in Table 4.5 are reserved for future use. They should be set to zero in generated class files and should be ignored by Java virtual machine implementations.

#### name\_index

The value of the name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing either one of the special method names (§3.9), <init> or <clinit>, or a valid method name in the Java programming language (§2.7), stored as a simple name (§2.7.1).

#### descriptor\_index

The value of the descriptor\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing a valid method descriptor (§4.3.3).

#### attributes\_count

The value of the attributes\_count item indicates the number of additional attributes (§4.7) of this method.

#### attributes[]

Each value of the attributes table must be an attribute structure (§4.7). A method can have any number of optional attributes associated with it.

The only attributes defined by this specification as appearing in the attributes table of a method\_info structure are the Code (§4.7.3), Exceptions (§4.7.4), Synthetic (§4.7.6), and Deprecated (§4.7.10) attributes.

A Java virtual machine implementation must recognize and correctly read Code (§4.7.3) and Exceptions (§4.7.4) attributes found in the attributes table of a method\_info structure. A Java virtual machine implementation is required to silently ignore any or all other attributes in the attributes table of a method\_info structure that it does not recognize. Attributes not defined in this specification are not allowed to affect the semantics of the class file, but only to provide additional descriptive information (§4.7.1).

# 4.7 Attributes

Attributes are used in the Class Fi l e ( $\S4.1$ ), field\_info ( $\S4.5$ ), method-info ( $\S4.6$ ), and Code-attribute (\$4.7.3) structures of the class file format. All attributes have the following general format:

```
attribute_info {
    u2 attribute_name_index;
    u4 attribute_length;
    u1 info[attribute_length];
}
```

For all attributes, the attribute\_name\_index must be a valid unsigned 16bit index into the constant pool of the class. The constant\_pool entry at attribute\_name\_index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing the name of the attribute. The value of the attribute\_length item indicates the length of the subsequent information in bytes. The length does not include the initial six bytes that contain the attribute\_name\_index and attribute\_length items.

Certain attributes are predefined as part of the class file specification. The

predefined attributes are the Sou rceFi l e (§4.7.7), ConstantVal ue (§4.7.2), Code (§4.7.3), Exceptions (§4.7.4), InnerClasses (§4.7.5), Synthetic (§4.7.6), LineNumberTable (§4.7.8), LocalVariableTable (§4.7.9), and Dep

recated (§4.7.10) attributes. Within the context of their use in this specification, that is, in the attributes tables of the class file structures in which they appear, the names of these predefined attributes are reserved.

Of the predefined attributes, the Code, ConstantVal ue, and Exceptions attributes must be recognized and correctly read by a class file reader for correct

interpretation of the class file by a Java virtual machine implementation. The InnerClasses and Synthetic attributes must be recognized and correctly read by a class file reader in order to properly implement the Java and Java 2 platform class libraries (§3.12). Use of the remaining predefined attributes is optional; a class file reader may use the information they contain, or otherwise must silently ignore those attributes.

# 4.7.1 Defining and Naming New Attributes

Compilers are permitted to define and emit class files containing new attributes in the attributes tables of class file structures. Java virtual machine implementations are permitted to recognize and use new attributes found in the attributes tables of class file structures. However, any attribute not defined as part of this Java virtual machine specification must not affect the semantics of class or interface types. Java virtual machine implementations are required to silently ignore attributes they do not recognize.

For instance, defining a new attribute to support vendor-specific debugging is permitted. Because Java virtual machine implementations are required to ignore attributes they do not recognize, class files intended for that particular Java virtual machine implementation will be usable by other implementations even if those implementations cannot make use of the additional debugging information that the class files contain.

Java virtual machine implementations are specifically prohibited from throwing an exception or otherwise refusing to use class files simply because of the presence of some new attribute. Of course, tools operating on class files may not run correctly if given class files that do not contain all the attributes they require.

Two attributes that are intended to be distinct, but that happen to use the same attribute name and are of the same length, will conflict on implementations that recognize either attribute. Attributes defined other than by Sun must have names chosen according to the package naming convention defined by *The Java Language Specification*. For instance, a new attribute defined by Netscape might have the name "com. **Netscape. new-attribute**".<sup>3</sup>

Sun may define additional attributes in future versions of this class file specification.

<sup>&</sup>lt;sup>3</sup> The first edition of *The Java Language Specification* required that "com" be in uppercase in this example. The second edition will reverse that convention and use lowercase.

# 4.7.2 The ConstantValue Attribute

The ConstantVal ue attribute is a fixed-length attribute used in the attributes table of the field\_info (§4.5) structures. A ConstantVal ue attribute represents the value of a constant field that must be (explicitly or implicitly) static; that is, the ACC\_STATIC bit (Table 4.4) in the fl ags item of the field\_info structure must be set. There can be no more than one ConstantVal ue attribute in the attributes table of a given field\_info structure. The constant field represented by the field\_info structure is assigned the value referenced by its ConstantVal ue attribute as part of the initialization of the class or interface declaring the constant field (§2.17.4). This occurs immediately prior to the invocation of the class or interface.

If a field\_info structure representing a non-static field has a ConstantValue attribute, then that attribute must silently be ignored. Every Java virtual machine implementation must recognize ConstantVal ue attributes.

The ConstantVal ue attribute has the following format: ConstantValue attribute {

```
u2 attribute_name_index;
u4 attribute_length;
u2 constantvalue_index;
```

The items of the ConstantVal ue\_attribute structure are as follows: attribute\_name\_index

The value of the attribute\_name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT Utf8 info (§4.4.7) structure representing the string "ConstantVal ue".

```
attribute_length
```

}

The value of the attribute\_length item of a ConstantVal ue\_attribute structure must be 2.

```
constantvalue_index
```

The value of the constantval ue\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index gives the constant value represented by this attribute. The constant\_pool entry must be of a type appropriate to the field, as shown by Table 4.6.

Field Type	Entry Type
Long	CONSTANT_Long
Float	CONSTANT_FI oat
Doubl e	CONSTANT_Doubl e
int, short, char, byte, bool ean	CONSTANT_Integer
String	CONSTANT_String

 Table 4.6 Constant value attribute types

# 4.7.3 The Code Attribute

The code attribute is a variable\_length attribute used in the attributes table of method-info structures. A code attribute contains the Java virtual machine instructions and auxiliary information for a single method, instance initialization method (§3.9), or class or interface initialization method (§3.9). Every Java virtual machine implementation must recognize Code attributes. If -the method is either native or abstract, its method\_info structure must not have a Code attribute. Otherwise, its method\_info structure must have exactly one Code attribute.

The Code attribute has the following format:

Code-attribute f u2 attribute\_name\_index; u4 attribute\_length; u2 max\_stack; u2 max\_locals; u4 code\_length; u1 code[code\_length]; u2 exception\_table\_length; { u2 start\_pc; u2 end\_pc; u2 handl er\_pc; u2 catch\_type; } excepti on\_tabl e[excepti on\_tabl e\_l ength]; u2 attributes\_count; attribute\_info attributes[attributes\_count];

}

The items of the Code\_attribute structure are as follows:

#### attribute\_name\_index

The value of the attribute\_name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing the string "Code".

#### attribute\_length

The value of the attribute\_length item indicates the length of the attribute, excluding the initial six bytes.

max\_stack

The value of the max\_stack item gives the maximum depth (§3.6.2) of the operand stack of this method at any point during execution of the method.

max\_locals

The value of the max-locals item gives the number of local variables in the local variable array allocated upon invocation of this method, including the local variables used to pass parameters to the method on its invocation.

The greatest local variable index for a value of type long or double is max-locals-2. The greatest local variable index for a value of any other type is max-locals-i.

code\_length

The value of the code\_length item gives the number of bytes in the code array for this method. The value of <sup>code\_length</sup> must be greater than zero; the code array must not be empty.

### code[]

The code array gives the actual bytes of Java virtual machine code that implement the method.

When the code array is read into memory on a byteaddressable machine, if the first byte of the array is aligned on a 4-byte boundary, the *tableswitch* and *lookupswitch* 32-bit offsets will be 4-byte aligned. (Refer to the descriptions of those instructions for more information on the consequences of code array alignment.)

The detailed constraints on the contents of the code array are extensive and are given in a separate section (§4.8).

exception\_table\_length

The value of the exception\_table\_length item gives the number of entries in the exception\_table table.

exception\_table[]

Each entry in the exception\_table array describes one exception handler in the code array. The order of the handlers in the exception\_table array is significant. See Section 3.10 for more details.

Each exception\_table entry contains the following four items:

start\_pc,end\_pc

The values of the two items start\_pc and end\_pc indicate the ranges in the code array at which the exception handler is active. The value of start\_pc must be a valid index into the code array of the opcode of an instruction. The value of end\_pc either must be a valid index into the code array of the opcode of an instruction or must be equal to code\_length, the length of the code array. The value of start\_pc must be less than the value of end\_pc.

The start\_pc is inclusive and end\_pc is exclusive; that is, the exception handler must be active while the program counter is within the interval [start\_pc, end\_pc).<sup>4</sup>

handler\_pc

The value of the handle  $r_pc$  item indicates the start of the exception handler. The value of the item must be a valid index into the code array and must be the index of the opcode of an instruction.

catch\_type

If the value of the catch\_type item is nonzero, it must be a valid index into the constant\_pool table. The

<sup>&</sup>lt;sup>4</sup> The fact that end\_pc is exclusive is a historical mistake in the design of the Java virtual machine: if the Java virtual machine code for a method is exactly 65535 bytes long and ends with an instruction that is 1 byte long, then that instruction cannot be protected by an exception handler. A compiler writer can work around this bug by limiting the maximum size of the generated Java virtual machine code for any method, instance initialization method, or static initializer (the size of any code array) to 65534 bytes.

constant\_pool entry at that index must be a

CONSTANT\_Class\_info (§4.4.1) structure representing a class of exceptions that this exception handler is designated to catch. This class must be the class Throwable or one of its subclasses. The exception handler will be called only if the thrown exception is an instance of the given class or one of its subclasses.

If the value of the catch\_type item is zero, this exception handler is called for all exceptions. This is used to implement finally (see Section 7.13, "Compiling finally").

```
attributes_count
```

The value of the attributes\_count item indicates the number of attributes of the code attribute.

attributes[]

Each value of the attributes table must be an attribute structure (§4.7). A code attribute can have any number of optional attributes associated with it.

Currently, the LineNumberTable (§4.7.8) and

LocalVariableTable (§4.7.9) attributes, both of which contain debugging information, are defined and used with the Code attribute.

A Java virtual machine implementation is permitted to silently ignore any or all attributes in the attributes table of a Code attribute. Attributes not defined in this specification are not allowed to affect the semantics of the class file, but only to provide additional descriptive information (§4.7.1).

#### 4.7.4 The Exceptions Attribute

The Exceptions attribute is a variable\_length attribute used in the attributes table of a method\_info (§4.6) structure. The Exceptions attribute indicates which checked exceptions a method may throw. There may be at most one Exceptions attribute in each method\_info structure.

Te may be at most one Exceptions attribute in each method\_into

The Exceptions attribute has the following format:

```
Exceptions-attribute {
    u2 attribute_name_index;
    u4 attribute_length;
    u2 number_of-exceptions;
    u2 exception_index_table[number_of_exceptions];
}
```

The items of the Excepti ons\_attribute structure are as follows:

attribute\_name\_index

The value of the attribute\_name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be the CONSTANT\_Utf8\_info (§4.4.7) structure representing the string "Exceptions".

```
attribute_length
```

The value of the attribute\_length item indicates the attribute length, excluding the initial six bytes.

number\_of-exceptions

The value of the number\_of\_exceptions item indicates the number of entries in the exception\_index\_table.

exception\_index\_table[]

Each value in the exception\_index\_table array must be a valid index into the constant\_pool table. The constant\_pool entry referenced by each table item must be a CONSTANT\_Class\_info (§4.4.1) structure representing a class type that this method is declared to throw.

A method should throw an exception only if at least one of the following three criteria is met:

- The exception is an instance of Runti meExcepti on or one of its subclasses.
- The exception is an instance of Error or one of its subclasses.
- The exception is an instance of one of the exception classes specified in the exception\_index\_table just described, or one of their subclasses.

These requirements are not enforced in the Java virtual machine; they are enforced only at compile time.

## 4.7.5 The InnerClasses Attribute

The Inne rClasses attribute<sup>s</sup> is a variable\_length attribute in the attributes table of the ClassFile (§4.1) structure. If the constant pool of a class or interface refers to any class or interface that is not a member of a package, its Class File structure must have exactly one InnerClasses attribute in its attributes table.

The InnerClasses attribute has the following format:

```
InnerClasses_attribute {
    u2 attribute_name_index;
    u4 attribute_length; u2 number_of-classes;
    { u2 inner_class_info_index;
    u2 outer_class_info_index;
    u2 inner_name_index;
    u2 inner_class_access_flags;
    } classes[number_of_classes];
}
```

The items of the In nerClasses-attribute structure areas follows:

#### attribute\_name\_index

The value of the attribute\_name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing the string "InnerClasses".

attribute\_length

The value of the attribute\_length item indicates the length of the attribute, excluding the initial six bytes.

number\_of-classes

The value of the number\_of\_classes item indicates the number of entries in the classes array.

#### classes[]

Every CONSTANT\_Class\_info entry in the constant\_pool table which represents a class or interface C that is not a package

<sup>&</sup>lt;sup>5</sup> The InnerClasses attribute was introduced in JDL 1.1 to support nested classes and interfaces

member must have exactly one corresponding entry in the classes array.

If a class has members that are classes or interfaces, its <code>constant\_pool</code> table (and hence its <code>InnerClasses</code> attribute) must refer to each such member, even if that member is not otherwise mentioned by the class. These rules imply that a nested class or interface member will have <code>Inne rClasses</code> information for each enclosing class and for each immediate member.

Each classes array entry contains the following four items:

#### inner\_class\_info\_index

The value of the inner\_class\_info\_index item must be zero or a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Class\_info (§4.4.1) structure representing C. The remaining items in the classes array entry give information about C.

#### outer\_class\_info\_index

If C is not a member, the value of the

outer\_class\_info\_index item must be zero. Otherwise, the value of the oute r\_class\_info\_index item must be a valid index into the constant\_pool table, and the entry at that index must be a CONSTANT\_class\_info (\$4.4.1) structure representing the class or interface of which C is a member.

#### inner\_name\_index

If C is anonymous, the value of the i nne r\_name\_index item must be zero. Otherwise, the value of the inner\_name\_index item must be a valid index into the constant\_pool table, and the entry at that index must be a CONSTANT\_Utf8\_info (\$4.4.7) structure that represents the original simple name of C, as given in the source code from which this class file was compiled.

#### inner\_class\_access\_flags

The value of the inner\_class\_access\_fI ags item is a mask of flags used to denote access permissions to and properties of class or interface C as declared in the source

code from which this class file was compiled. It is used by compilers to recover the original information when source code is not available. The flags are shown in Table 4.7.

Flag Name	Value	Meaning
ACC_PUBLIC	0x0001	Marked or implicitly public in source.
ACC_PRIVATE	0x0002	Marked private in source.
ACC_PROTECTED	0x0004	Marked protected in source.
ACC_STATIC	0x0008	Marked or implicitly stati c in source.
ACC_FINAL	0x0010	Marked final in source.
ACC_INTERFACE	0x0200	Was an interface in source.
ACC_ABSTRACT	0x0400	Marked or implicitly abstract in source.

Table 4.7 Nested class access and property flags

All bits of the inner\_class\_access\_flags item not assigned in Table 4.7 are reserved for future use. They should be set to zero in generated class files and should be ignored by Java virtual machine implementations.

The Java virtual machine does not currently check the consistency of the Inne rClasses attribute with any class file actually representing a class or interface referenced by the attribute.

# 4.7.6 The synthetic Attribute

The Synthetic attribute<sup>6</sup> is a fixed-length attribute in the attributes table of ClassFile (§4.1), field\_info (§4.5), and method-info (§4.6) structures. A class member that does not appear in the source code must be marked using a Synthetic attribute.

The Synthetic attribute has the following format:

```
Synthetic-attribute {
    u2 attribute_name_index;
    u4 attribute_length;
}
```

The items of the Synthetic\_attribute structure are as follows:

```
attribute_name_index
```

The value of the attribute\_name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing the string "Synthetic".

```
attribute_length
```

The value of the attribute\_length item is zero.

# 4.7.7 The Sou rceFile Attribute

The SourceFile attribute is an optional fixed-length attribute in the attributes table of the Class File (§4.1) structure. There can be no more than one SourceFile attribute in the attributes table of a given ClassFile structure.

The Sou rceFile attribute has the following format:

```
SourceFile_attribute {
    u2 attribute_name_index;
    u4 attribute_length;
    u2 sourcefile_index;
}
```

The items of the Sou rce File\_attribute structure are as follows:

```
attribute_name_index
```

The value of the attribute\_name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing the string "SourceFile".

attribute\_length

The value of the attribute\_length item of a SourceFile\_attribute structure must be 2.

sourcefile\_index

The value of the sou rceFile\_index item must be a valid index into the constant\_pool table. The constant pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing a string.

The string referenced by the SourceFile\_index item will be interpreted as indicating the name of the source file from which this class file was compiled. It will not be interpreted as indicating the name of a directory containing the file or an absolute path name for the file; such platform-specific additional information must be supplied by the runtime interpreter or development tool at the time the file name is actually used.

#### 4.7.8 The LineNumberTable Attribute

The LineNumberTable attribute is an optional variable\_length attribute in the attributes table of a Code (§4.7.3) attribute. It may be used by debuggers to determine which part of the Java virtual machine code array corresponds to a given line number in the original source file. If LineNumberTable attributes are present in the attributes table of a given Code attribute, then they may appear in any order. Furthermore, multiple LineNumberTable attributes may together represent a given line of a source file; that is, LineNumberTable attributes need not be one-toone with source lines.

The LineNumberTable attribute has the following format:

```
LineNumberTable_attribute {
```

- u2 attribute\_name\_index;
- u4 attribute\_length;
- u2 line\_number\_table\_length; { u2 start\_pc;
  - u2 line\_number;
- } line\_number\_table[line\_number\_table\_length];

The items of the LineNumberTable\_attribute structure are as follows:

### attribute\_name\_index

The value of the attribute\_name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry

at that index must be a <code>constant\_utf8\_info</code> (§4.4.7) structure representing the string "Lin <code>eNumberTable"</code>.

attribute\_length

The value of the attribute\_length item indicates the length of the attribute, excluding the initial six bytes.

line\_number\_table\_length

The value of the line\_numbe r\_table\_length item indicates the number of entries in the line\_number\_table array.

line\_number\_table[]

Each entry in the line\_number\_table array indicates that the line number in the original source file changes at a given point in the code array. Each line\_number\_table entry must contain the following two items:

start\_pc

The value of the start\_pc item must indicate the index into the code array at which the code for a new line in the original source file begins. The value of start\_pc must be less than the value of the code\_length item of the Code attribute of which this LineNumberTable is an attribute.

line\_number

The value of the line\_number item must give the corresponding line number in the original source file.

### 4.7.9 The LocalVariableTable Attribute

The LocalVariableTable attribute is an optional variable\_length attribute of a code (§4.7.3) attribute. It may be used by debuggers to determine the value of a given local variable during the execution of a method. If LocalVariableTable attributes are present in the attributes table of a given code attribute, then they may appear in any order. There may be no more than one LocalVariableTable attribute per local variable in the code attribute.

The LocalVariableTable attribute has the following format:

```
LocalVariableTable_attribute {
    u2 attribute_name_index;
    u4 attribute_length;
    u2 local_variable_table_length;
    { u2 start_pc;
        u2 length;
        u2 name_index;
        u2 descriptor_index;
        u2 index;
    } local_variable_table[local_variable_table_length];
}
```

The items of the LocalVariableTable\_attribute structure areas follows: attribute\_name\_index

The value of the attribute\_name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing the string "LocalVariableTable".

```
attribute_length
```

The value of the attribute\_length item indicates the length of the attribute, excluding the initial six bytes.

```
local_variable_table_length
```

The value of the local\_Variable\_table\_length item indicates the number of entries in the local\_Variable\_table array.

```
local_variable_table[1
```

Each entry in the local\_Variable\_table array indicates a range of code array offsets within which a local variable has a value. It also indicates the index into the local variable array of the current frame at which that local variable can be found. Each entry must contain the following five items:

#### start\_pc,length

The given local variable must have a value at indices into the code array in the interval [start\_pc, start\_pc+length], that is, between start\_pc and start\_pc+length inclusive. The value of start\_pc must be a valid index into the code array of this Code attribute and must be the index of the opcode of an instruction. Either the value of start\_pc+length must be a valid index into the code array of this Code attribute and be the index of the opcode of an instruction, or it must be the first index beyond the end of that code array.

name\_index,descriptor\_index

The value of the name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must contain a CONSTANT\_Utf8\_info (§4.4.7) structure representing a valid local variable name stored as a simple name (§2.7.1).

The value of the descriptor\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must contain a CONSTANT\_Utf8\_info (§4.4.7) structure representing a field descriptor (§4.3.2) encoding the type of a local variable in the source program.

index

The given local variable must be at index in the local variable array of the current frame. If the local variable at index is of type Double or long, it occupies both index and index+1.

### 4.7.10 The Deprecated Attribute

The Deprecated attribute is an optional fixed-length attribute in the attributes table of ClassFile (\$4.1), field\_info (\$4.5), and method-info (\$4.6) structures. A class, interface, method, or field may be marked using a Deprecated attribute to indicate that the class, interface, method, or field has been superseded. A

runtime interpreter or tool that reads the class file format, such as a compiler, can use this marking to advise the user that a superseded class, interface, method, or field is being referred to. The presence of a Deprecated attribute does not alter the semantics of a class or interface. The Deprecated attribute has the following format:

```
Deprecated-attribute {
    u2 attribute_name_index;
    u4 attribute_length;
}
```

The items of the Dep recated\_attribute structure are as follows:

```
attribute_name_index
```

The value of the attribute\_name\_index item must be a valid index into the constant\_pool table. The constant\_pool entry at that index must be a CONSTANT\_Utf8\_info (§4.4.7) structure representing the string "Deprecated".

```
attribute_length
```

The value of the attribute\_length item is zero.

# 4.8 Constraints on Java Virtual Machine Code

The Java virtual machine code for a method, instance initialization method (§3.9), or class or interface initialization method (§3.9) is stored in the code array of the Code attribute of a method\_info structure of a class file. This section describes the constraints associated with the contents of the Code\_attribute structure.

### **4.8.1 Static Constraints**

The static constraints on a class file are those defining the well-formedness of the file. With the exception of the static constraints on the Java virtual machine code of the class file, these constraints have been given in the previous section. The static constraints on the Java virtual machine code in a class file specify how Java virtual machine instructions must be laid out in the code array and what the operands of individual instructions must be.

The static constraints on the instructions in the code array are as follows:

- The code array must not be empty, so the code\_length item cannot have the value 0.
- The value of the code\_length item must be less than 65536.
- The opcode of the first instruction in the code array begins at index 0.
- Only instances of the instructions documented in Section 6.4 may appear in the code array. Instances of instructions using the reserved opcodes (§6.2) or any opcodes not documented in this specification may not appear in the code array.
- For each instruction in the code array except the last, the index of the opcode of the next instruction equals the index of the opcode of the current instruction plus the length of that instruction, including all its operands. The *wide* instruction is treated like any other instruction for these purposes; the opcode specifying the operation that *a wide* instruction is to modify is treated as one of the operands of that *wide* instruction. That opcode must never be directly reachable by the computation.
- The last byte of the last instruction in the code array must be the byte at index code\_length-1.

The static constraints on the operands of instructions in the code array are as follows:

- The target of each jump and branch instruction (jsr, jsr w, goto, goto w, ifeq, ifne, ifle, iflt, ifge, ifgt, ifnull, ifnonnull, if icmpeq, if icmpne, if icmple, if icmplt, if icmpge, if icmpgt, if acmpeq, if acmpne) must be the opcode of an instruction within this method. The target of a jump or branch instruction must never be the opcode used to specify the operation to be modified by a wide instruction; a jump or branch target may be the wide instruction itself.
- Each target, including the default, of each tableswitch instruction must be the opcode of an instruction within this method. Each tableswitch instruction must have a number of entries in its jump table that is consistent with the value of its low and high jump table operands, and its low value must be less than or equal to its high value. No target of a tableswitch instruction may be the opcode used to specify the operation to be modified by a wide instruction; a tableswitch target may be a wide instruction itself.

- Each target, including the default, of each *lookupswitch* instruction must be the opcode of an instruction within this method. Each *lookupswitch* instruction must have a number of *match-offset* pairs that is consistent with the value of its *npairs* operand. The *match-offset* pairs must be sorted in increasing numerical order by signed *match* value. No target of a *lookupswitch* instruction may be the opcode used to specify the operation to be modified by *a wide* instruction; *a lookupswitch* target may be *a wide* instruction itself.
- The operand of each *ldc* instruction must be a valid index into the constant\_pool table. The operands of each *ldc* w instruction must represent a valid index into the constant\_pool table. In both cases the constant pool entry referenced by that index must be of type CONSTANT\_Integer, CONSTANT\_Float, or CONSTANT\_String.
- The operands of each *ldc2 w* instruction must represent a valid index into the constant\_pool table. The constant pool entry referenced by that index must be of type CONSTANT\_Long or CONSTANT\_Double. In addition, the subsequent constant pool index must also be a valid index into the constant pool, and the constant pool entry at that index must not be used.
- The operands of each *getfield*, *putfield*, *getstatic*, and *putstatic* instruction must represent a valid index into the constant\_pool table. The constant pool entry referenced by that index must be of type CONSTANT\_Fielddref.
- The indexbyte operands of each *invokevirtual*, *invokespecial*, and *invokestatic* instruction must represent a valid index into the constant\_pool table. The constant pool entry referenced by that index must be of type CONSTANT\_Methodref.
- Only the *invokespecial* instruction is allowed to invoke an instance initialization method (§3.9). No other method whose name begins with the character ' <' ('\u003c') may be called by the method invocation instructions. In particular, the class or interface initialization method specially named <clinit> is never called explicitly from Java virtual machine instructions, but only implicitly by the Java virtual machine itself.
- The indexbyte operands of each *invokeinterface* instruction must represent a valid index into the constant\_pool table. The constant pool entry referenced by that index must be of type CONSTANT\_InterfaceMethodref. The value of the *count* operand of each *invokeinterface* instruction must reflect the number of local variables necessary to store

the arguments to be passed to the interface method, as implied by the descriptor of the CON STANT\_NameAndType\_info structure referenced by the CONSTANT\_InterfaceMethodref constant pool entry. The fourth operand byte of each *invokeinterface* instruction must have the value zero.

- The operands of each *instanceof, checkcast, new,* and *anewarray* instruction and the indexbyte operands of each *multianewarray* instruction must represent a valid index into the constant\_pool table. The constant pool entry referenced by that index must be of type CONSTANT\_Class.
- No anewarray instruction may be used to create an array of more than 255 dimensions.
- No *new* instruction may reference a CONSTANT\_Class constant\_pool table entry representing an array class. The *new* instruction cannot be used to create an array. The *new* instruction also cannot be used to create an instance of an interface or an instance of an abstract class.
- A multianewarray instruction must be used only to create an array of a type that has at least as many dimensions as the value of its *dimensions* operand. That is, while *a multianewarray* instruction is not required to create all of the dimensions of the array type referenced by its indexbyte operands, it must not attempt to create more dimensions than are in the array type. The *dimensions* operand of each *multianewarray* instruction must not be zero.
- The *atype* operand of each *newarray* instruction must take one of the values T\_BOOLEAN (4), T\_CHAR (5), T\_FLOAT (6), T\_DOUBLE (7), T\_BYTE (8), T\_SHORT (9), T\_INT (10), or T\_LONG (11).
- The index operand of each *iload, fload, aload, istore, fstore, astore, iinc,* and *ret* instruction must be a nonnegative integer no greater than max\_locals-1.
- The implicit index of each *iload* <*n*>, *fload* <*n*>, *aload* <*n*>, *istore* <*n*>, *fstore* <*n*>, and *astore* <*n*> instruction must be no greater than the value of max\_locals-1.
- The index operand of each *Road, dload, istore,* and *dstore* instruction must be no greater than the value of max\_locals-2.
- The implicit index of each *lload* <*n*>, *dload* <*n*>, *istore* <*n*>, and *dstore* <*n*> instruction must be no greater than the value of max\_locals-2.
- The indexbyte operands of each *wide* instruction modifying an *iload, fload, aload, istore, fstore, astore, ret,* or *iinc* instruction must represent a nonnegative

integer no greater than max\_locals-1. The indexbyte operands of each *wide* instruction modifying an *lload*, *dload*, *Istore*, or *dstore* instruction must represent a nonnegative integer no greater than max\_locals-2.

# 4.8.2 Structural Constraints

The structural constraints on the code array specify constraints on relationships between Java virtual machine instructions. The structural constraints are as follows:

- Each instruction must only be executed with the appropriate type and number of arguments in the operand stack and local variable array, regardless of the execution path that leads to its invocation. An instruction operating on values of type int is also permitted to operate on values of type bool ean, byte, char, and short. (As noted in §3.3.4 and §3.11.1, the Java. virtual machine internally converts values of types bool ean, byte, char, and short to type int.)
- If an instruction can be executed along several different execution paths, the operand stack must have the same depth (§3.6.2) prior to the execution of the instruction, regardless of the path taken.
- At no point during execution can the order of the local variable pair holding a value of type long or double be reversed or the pair split up. At no point can the local variables of such a pair be operated on individually.
- No local variable (or local variable pair, in the case of a value of type long or doubt e) can be accessed before it is assigned a value.
- At no point during execution can the operand stack grow to a depth (§3.6.2) greater than that implied by the max\_stack item.
- At no point during execution can more values be popped from the operand stack than it contains.
- Each *invokespecial* instruction must name an instance initialization method (§3.9), a method in the current class, or a method in a superclass of the current class.
- When the instance initialization method (§3.9) is invoked, an uninitialized class instance must be in an appropriate position on the operand stack. An instance initialization method must never be invoked on an initialized class instance.

- • When any instance method is invoked or when any instance variable is accessed, the class instance that contains the instance method or instance variable must already be initialized.
- There must never be an uninitialized class instance on the operand stack or in a local variable when any backwards branch is taken. There must never be an uninitialized class instance in a local variable in code protected by an exception handler. However, an uninitialized class instance may be on the operand stack in code protected by an exception handler. When an exception is thrown, the contents of the operand stack are discarded.
- Each instance initialization method (§3.9), except for the instance initialization method derived from the constructor of class Object, must call either another instance initialization method of this or an instance initialization method of its direct superclass super before its instance members are accessed. However, instance fields of this that are declared in the current class may be assigned before calling any instance initialization method.
- The arguments to each method invocation must be method invocation compatible (§2.6.8) with the method descriptor (§4.3.3).
- The type of every class instance that is the target of a method invocation instruction must be assignment compatible (§2.6.7) with the class or interface type specified in the instruction.
- Each return instruction must match its method's return type. If the method returns a bool ean, byte, char, short, or int, only the ireturn instruction may be used. If the method returns a Float, long, or double, only an freturn, lreturn, or dreturn instruction, respectively, may be used. If the method returns a reference type, it must do so using an areturn instruction, and the type of the returned value must be assignment compatible (§2.6.7) with the return descriptor (§4.3.3) of the method. All instance initialization methods, class or interface initialization methods, and methods declared to return voi d must use only the return instruction.
- If getfield or putfield is used to access a protected field of a superclass, then the type of the class instance being accessed must be the same as or a subclass of the current class. If invokevirtual or invokespecial is used to access a protected method of a superclass, then the type of the class instance being accessed must be the same as or a subclass of the current class.

The type of every class instance accessed by *a getfield* instruction or modified by *a putfield* instruction must be assignment compatible (§2.6.7) with the class type specified in the instruction.

- The type of every value stored by *a putfield* or *putstatic* instruction must be compatible with the descriptor of the field (§4.3.2) of the class instance or class being stored into. If the descriptor type is bool ean, byte, char, short, or int, then the value must be an int. If the descriptor type is Float, long, or double, then the value must be a Float, long, or double, respectively. If the descriptor type is a reference type, then the value must be of a type that is assignment compatible (§2.6.7) with the descriptor type.
- The type of every value stored into an array of type reference by an *aastore* instruction must be assignment compatible (§2.6.7) with the component type of the array.
- Each *athrow* instruction must throw only values that are instances of class Throwable or of subclasses of Throwable.
- Execution never falls off the bottom of the code array.
- No return address (a value of type returnAddress) may be loaded from a local variable.
- The instruction following each *jsr* or *jsr w* instruction maybe returned to only by a single *ret* instruction.
- No *jsr* or *jsr w* instruction may be used to recursively call a subroutine if that subroutine is already present in the subroutine call chain. (Subroutines can be nested when using try-finally constructs from within a finally clause. For more information on Java virtual machine subroutines, see §4.9.6.)
- Each instance of type retu rnAdd ress can be returned to at most once. If a *ret* instruction returns to a point in the subroutine call chain above the *ret* instruction corresponding to a given instance of type returnAddress, then that instance can never be used as a return address.

also certify code that other compilers can generate, as well as code that the current compiler could not possibly generate. Any class file that satisfies the structural criteria and static constraints will be certified by the verifier.

The class file verifier is also independent of the Java programming language. Programs written in other languages can be compiled into the class file format, but will pass verification only if all the same constraints are satisfied.

# 4.9.1 The Verification Process

The class file verifier operates in four passes:

**Pass 1:** When a prospective class file is loaded (§2.17.2) by the Java virtual machine, the Java virtual machine first ensures that the file has the basic format of a class file. The first four bytes must contain the right magic number. All recognized attributes must be of the proper length. The class file must not be truncated or have extra bytes at the end. The constant pool must not contain any superficially unrecognizable information.

While class file verification properly occurs during class linking (§2.17.3), this check for basic class file integrity is necessary for any interpretation of the class file contents and can be considered to be logically part of the verification process.

**Pass 2:** When the class file is linked, the verifier performs all additional verification that can be done without looking at the code array of the Code attribute (§4.7.3). The checks performed by this pass include the following:

- Ensuring that final classes are not subclassed and that final methods are not overridden.
- Checking that every class (except Object) has a direct superclass.
- Ensuring that the constant pool satisfies the documented static constraints: for example, that each CONSTANT\_Class\_info structure in the constant pool contains in its name\_index item a valid constant pool index for a CONSTANT\_Utf8\_info structure.
  - Checking that all field references and Methodreferences in the constant pool have valid names, valid classes, and a valid type descriptor.

Note that when it looks at field and Methodreferences, this pass does not check to make sure that the given field or method actually exists in the given class, nor does it

also certify code that other compilers can generate, as well as code that the current compiler could not possibly generate. Any class file that satisfies the structural criteria and static constraints will be certified by the verifier.

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  - Checking that all field references and Methodreferences in the constant pool have valid names, valid classes, and a valid type descriptor.

Note that when it looks at field and Methodreferences, this pass does not check to make sure that the given field or method actually exists in the given class, nor does it

check that the type descriptors given refer to real classes. It checks only that these items are well formed. More detailed checking is delayed until passes 3 and 4.

**Pass 3:** During linking, the verifier checks the code array of the Code attribute for each method of the class file by performing data-flow analysis on each method. The verifier ensures that at any given point in the program, no matter what code path is taken to reach that point, the following is true:

- The operand stack is always the same size and contains the same types of values.
- No local variable is accessed unless it is known to contain a value of an appropriate type.
- Methods are invoked with the appropriate arguments.
- Fields are assigned only using values of appropriate types.
- All opcodes have appropriate type arguments on the operand stack and in the local variable array.

# For further information on this pass, see Section 4.9.2, "The Bytecode Verifier."

**Pass 4:** For efficiency reasons, certain tests that could in principle be performed in Pass 3 are delayed until the first time the code for the method is actually invoked. In so doing, Pass 3 of the verifier avoids loading class files unless it has to.

For example, if a method invokes another method that returns an instance of class A, and that instance is assigned only to a field of the same type, the verifier does not bother to check if the class A actually exists. However, if it is assigned to a field of the type B, the definitions of both A and B must be loaded in to ensure that A is a subclass of B.

Pass 4 is a virtual pass whose checking is done by the appropriate Java virtual machine instructions. The first time an instruction that references a type is executed, the executing instruction does the following:

- Loads in the definition of the referenced type if it has not already been loaded.
- Checks that the currently executing type is allowed to reference the type.

The first time an instruction invokes a method, or accesses or modifies a field, the executing instruction does the following:

• Ensures that the referenced method or field exists in the given class.

- Checks that the referenced method or field has the indicated descriptor.
- Checks that the currently executing method has access to the referenced method or field.

The Java virtual machine does not have to check the type of the object on the operand stack. That check has already been done by Pass 3. Errors that are detected in Pass 4 cause instances of subclasses of LinkageError to be thrown.

A Java virtual machine implementation is allowed to perform any or all of the Pass 4 steps as part of Pass 3; see 2.17.1, "Virtual Machine Start-up" for an example and more discussion.

In one of Sun's Java virtual machine implementations, after the verification has been performed, the instruction in the Java virtual machine code is replaced with an alternative form of the instruction. This alternative instruction indicates that the verification needed by this instruction has taken place and does not need to be performed again. Subsequent invocations of the method will thus be faster. It is illegal for these alternative instruction forms to appear in class files, and they should never be encountered by the verifier.

### 4.9.2 The Bytecode Verifier

As indicated earlier, Pass 3 of the verification process is the most complex of the four passes of class file verification. This section looks at the verification of Java virtual machine code in Pass 3 in more detail.

The code for each method is verified independently. First, the bytes that make up the code are broken up into a sequence of instructions, and the index into the code array of the start of each instruction is placed in an array. The verifier then goes through the code a second time and parses the instructions. During this pass a data structure is built to hold information about each Java virtual machine instruction in the method. The operands, if any, of each instruction are checked to make sure they are valid. For instance:

- Branches must be within the bounds of the code array for the method.
- The targets of all control-flow instructions are each the start of an instruction. In the case of a wide instruction, the *wide* opcode is considered the start of the instruction, and the opcode giving the operation modified by that *wide* instruction is not considered to start an instruction. Branches into the middle of an instruction are disallowed.

- No instruction can access or modify a local variable at an index greater than or equal to the number of local variables that its method indicates it allocates.
- All references to the constant pool must be to an entry of the appropriate type. For example: the instruction *ldc* can be used only for data of type int or Float or for instances of class **String**; the instruction *getfield* must reference a field.
- The code does not end in the middle of an instruction.
- Execution cannot fall off the end of the code.
- For each exception handler, the starting and ending point of code protected by the handler must be at the beginning of an instruction or, in the case of the ending point, immediately past the end of the code. The starting point must be before the ending point. The exception handler code must start at a valid instruction, and it may not start at an opcode being modified by the *wide* instruction.

For each instruction of the method, the verifier records the contents of the operand stack and the contents of the local variable array prior to the execution of that instruction. For the operand stack, it needs to know the stack height and the type of each value on it. For each local variable, it needs to know either the type of the contents of that local variable or that the local variable contains an unusable or unknown value (it might be uninitialized). The bytecode verifier does not need to distinguish between the integral types (e.g., **byte**, **short**, **char**) when determining the value types on the operand stack. Next, a data-flow analyzer is initialized. For the first instruction of the method, the local variables that represent parameters initially contain values of the types indicated by the method's type descriptor; the operand stack is empty. All other local variables contain an illegal value. For the other instructions, which have not been examined yet, no information is available regarding the operand stack or local variables.

Finally, the data-flow analyzer is run. For each instruction, a "changed" bit indicates whether this instruction needs to be looked at. Initially, the "changed" bit is set only for the first instruction. The data-flow analyzer executes the following loop:

1. Select a virtual machine instruction whose "changed" bit is set. If no instruction remains whose "changed" bit is set, the method has successfully been verified. Otherwise, turn off the "changed" bit of the selected instruction.

- 2. Model the effect of the instruction on the operand stack and local variable array by doing the following:
  - If the instruction uses values from the operand stack, ensure that there are a sufficient number of values on the stack and that the top values on the stack are of an appropriate type. Otherwise, verification fails.
  - If the instruction uses a local variable, ensure that the specified local variable contains a value of the appropriate type. Otherwise, verification fails.
  - If the instruction pushes values onto the operand stack, ensure that there is sufficient room on the operand stack for the new values. Add the indicated types to the top of the modeled operand stack.
  - If the instruction modifies a local variable, record that the local variable now contains the new type.
- 3. Determine the instructions that can follow the current instruction. Successor instructions can be one of the following:
  - The next instruction, if the current instruction is not an unconditional control transfer instruction (for instance *goto, return,* or *athrow*). Verification fails if it is possible to "fall off" the last instruction of the method.
  - The target(s) of a conditional or unconditional branch or switch.
  - Any exception handlers for this instruction.
- 4. Merge the state of the operand stack and local variable array at the end of the execution of the current instruction into each of the successor instructions. In the special case of control transfer to an exception handler, the operand stack is set to contain a single object of the exception type indicated by the exception handler information.
  - If this is the first time the successor instruction has been visited, record that the operand stack and local variable values calculated in steps 2 and 3 are the state of the operand stack and local variable array prior to executing the successor instruction. Set the "changed" bit for the successor instruction.

- If the successor instruction has been seen before, merge the operand stack and local variable values calculated in steps 2 and 3 into the values already there. Set the "changed" bit if there is any modification to the values.
- 5. Continue at step 1.

To merge two operand stacks, the number of values on each stack must be identical. The types of values on the stacks must also be identical, except that differently typed reference values may appear at corresponding places on the two stacks. In this case, the merged operand stack contains a reference to an instance of the first common superclass of the two types. Such a reference type always exists because the type Object is a superclass of all class and interface types. If the operand stacks cannot be merged, verification of the method fails.

To merge two local variable array states, corresponding pairs of local variables are compared. If the two types are not identical, then unless both contain reference values, the verifier records that the local variable contains an unusable value. If both of the pair of local variables contain reference values, the merged state contains a reference to an instance of the first common superclass of the two types.

If the data-flow analyzer runs on a method without reporting a verification failure, then the method has been successfully verified by Pass 3 of the class file verifier.

Certain instructions and data types complicate the data-flow analyzer. We now examine each of these in more detail.

#### 4.9.3 Values of Types long and double

Values of the long and dou bl e types are treated specially by the verification process.

Whenever a value of type long or double is moved into a local variable at index n, index n + 1 is specially marked to indicate that it has been reserved by the value at index n and may not be used as a local variable index. Any value previously at index n + 1 becomes unusable.

Whenever a value is moved to a local variable at index n, the index n - 1 is examined to see if it is the index of a value of type long or double. If so, the local variable at index n - 1 is changed to indicate that it now contains an unusable value. Since the local variable at index n has been overwritten, the local variable at index n - 1 cannot represent a value of type long or Double.

Dealing with values of types long or double on the operand stack is simpler; the verifier treats them as single values on the stack. For example, the verification code for the *dadd* opcode (add two double values) checks that the top two items on the stack are both of type doubt e. When calculating operand stack length, values of type long and double have length two.

Untyped instructions that manipulate the operand stack must treat values of type double and long as atomic (indivisible). For example, the verifier reports a failure if the top value on the stack is a double and it encounters an instruction such as pop or dup. The instructions pop2 or dup2 must be used instead.

### 4.9.4 Instance Initialization Methods and Newly Created Objects

Creating a new class instance is a multistep process. The statement new myClass(i, j, k);

... new myClass(i, j, k); ...

can be implemented by the following:

...

new #1	//Allocate uninitialized space for myClass
dup	//Duplicate object on the operand stack
iload 1	//Push i
iload 2	// Push j
iload 3	// Push k
invokespecial #5	//Invoke myClass. <init></init>

This instruction sequence leaves the newly created and initialized object on top of the operand stack. (Additional examples of compilation to the instruction set of the Java virtual machine are given in Chapter 7, "Compiling for the Java Virtual Machine.")

The instance initialization method (§3.9) for class myClass sees the new uninitialized object as its **this** argument in local variable 0. Before that method invokes another instance initialization method of myClass or its direct superclass on this, the only operation the method can perform on **this** is assigning fields declared within myClass.

When doing dataflow analysis on instance methods, the verifier initializes local variable 0 to contain an object of the current class, or, for instance initialization methods, local variable 0 contains a special type indicating an uninitialized object. After an appropriate instance initialization method is invoked (from the current class or the current superclass) on this object, all occurrences of this special type on the verifier's model of the operand stack and in the local variable array are replaced by the current class type. The verifier rejects code that uses the new object before it has been initialized or that initializes the object more than once. In addition, it ensures that every normal return of the method has invoked an instance initialization method either in the class of this method or in the direct superclass.

Similarly, a special type is created and pushed on the verifier's model of the operand stack as the result of the Java virtual machine instruction *new*. The special type indicates the instruction by which the class instance was created and the type of the uninitialized class instance created. When an instance initialization method is invoked on that class instance, all occurrences of the special type are replaced by the intended type of the class instance. This change in type may propagate to subsequent instructions as the dataflow analysis proceeds.

The instruction number needs to be stored as part of the special type, as there may be multiple notyet-initialized instances of a class in existence on the operand stack at one time. For example, the Java virtual machine instruction sequence that implements

```
new InputStream(new Foo(), new InputStream("foo"))
```

may have two uninitialized instances of InputStream on the operand stack at once. When an instance initialization method is invoked on a class instance, only those occurrences of the special type on the operand stack or in the local variable array that are the *same object* as the class instance are replaced.

A valid instruction sequence must not have an uninitialized object on the operand stack or in a local variable during a backwards branch, or in a local variable in code protected by an exception handler or a finally clause. Otherwise, a devious piece of code might fool the verifier into thinking it had initialized a class instance when it had, in fact, initialized a class instance created in a previous pass through a loop.

#### **4.9.5 Exception Handlers**

Java virtual machine code produced by Sun's compiler for the Java programming language always generates exception handlers such that:

- Either the ranges of instructions protected by two different exception handlers always are completely disjoint, or else one is a subrange of the other. There is never a partial overlap of ranges.
- The handler for an exception will never be inside the code that is being protected.
- The only entry to an exception handler is through an exception. It is impossible to fall through or "goto" the exception handler.

These restrictions are not enforced by the class file verifier since they do not pose a threat to the integrity of the Java virtual machine. As long as every nonexceptional path to the exception handler causes there to be a single object on the operand stack, and as long as all other criteria of the verifier are met, the verifier will pass the code.

# 4.9.6 Exceptions and finally Given the code fragment

```
try {
    startFaucet();
    waterLawn();
} finally { stopFaucet();
```

the Java programming language guarantees that stopFaucet is invoked (the faucet is turned off) whether we finish watering the lawn or whether an exception occurs while starting the faucet or watering the lawn. That is, the finally clause is guaranteed to be executed whether its try clause completes normally or completes abruptly by throwing an exception.

To implement the try-finally construct, Sun's compiler for the Java programming language uses the exception\_handling facilities together with two special instructions: *jsr* ("jump to subroutine") and *ret* ("return from subroutine"). The finally clause is compiled as a subroutine within the Java virtual machine code for its method, much like the code for an exception handler. When *a jsr* instruction that invokes the subroutine is executed, it pushes its return address, the address of the instruction after the *jsr* that is being executed, onto the operand stack as a value of type <code>returnAddress</code>. The code for the subroutine stores the

return address in a local variable. At the end of the subroutine, *a ret* instruction fetches the return address from the local variable and transfers control to the instruction at the return address.

Control can be transferred to the finally clause (the finally subroutine can be invoked) in several different ways. If the try clause completes normally, the finally subroutine is invoked via *a jsr* instruction before evaluating the next expression. A break or continue inside the try clause that transfers control outside the try clause executes *a jsr* to the code for the finally clause first. If the try clause executes a return, the compiled code does the following:

- 1. Saves the return value (if any) in a local variable.
- 2. Executes *a jsr* to the code for the finally clause.
- 3. Upon return from the finally clause, returns the value saved in the local variable.

The compiler sets up a special exception handler, which catches any exception thrown by the try clause. If an exception is thrown in the try clause, this exception handler does the following:

- 1. Saves the exception in a local variable.
- 2. Executes a jsr to the finally clause.
- 3. Upon return from the finally clause, rethrows the exception.

For more information about the implementation of the try-finally construct, see Section 7.13, "Compiling finally."

The code for the finally clause presents a special problem to the verifier. Usually, if a particular instruction can be reached via multiple paths and a particular local variable contains incompatible values through those multiple paths, then the local variable becomes unusable. However, a finally clause might be called from several different places, yielding several different circumstances:

- The invocation from the exception handler may have a certain local variable that contains an exception.
- The invocation to implement return may have some local variable that contains the return value.
- The invocation from the bottom of the try clause may have an indeterminate value in that same local variable.

The code for the finally clause itself might pass verification, but after completing the updating all the successors of the *ret* instruction, the verifier would note that the local variable that the exception handler expects to hold an exception, or that the return code expects to hold a return value, now contains an indeterminate value.

Verifying code that contains a finally clause is complicated. The basic idea is the following:

- Each instruction keeps track of the list of jsr targets needed to reach that instruction. For most code, this list is empty. For instructions inside code for the finally clause, it is of length one. For multiply nested finally code (extremely rare!), it may be longer than one.
- For each instruction and each jsr needed to reach that instruction, a bit vector is maintained of all local variables accessed or modified since the execution of the jsr instruction.
- When executing the ret instruction, which implements a return from a subroutine, there must be only one possible subroutine from which the instruction can be returning. Two different subroutines cannot "merge" their execution to a single ret instruction.
- To perform the data-flow analysis on a ret instruction, a special procedure is used. Since the verifier knows the subroutine from which the instruction must be returning, it can find all the jsr instructions that call the subroutine and merge the state of the operand stack and local variable array at the time of the ret instruction into the operand stack and local variable array of the instructions following the jsr. Merging uses a special set of values for local variables:
  - For any local variable that the bit vector (constructed above) indicates has been accessed or modified by the subroutine, use the type of the local variable at the time of the *ret*.
  - For other local variables, use the type of the local variable before the *jsr* instruction.

# 4.10 Limitations of the Java Virtual Machine

The following limitations of the Java virtual machine are implicit in the class file format:

- The per-class or per-interface constant pool is limited to 65535 entries by the 16-bit constant\_pool\_count field of the ClassFile structure (§4.1). This acts as an internal limit on the total complexity of a single class or interface.
- The amount of code per non-native, non-abstract method is limited to 65536 bytes by the sizes of the indices in the exception\_table of the Code attribute (§4.7.3), in the LineNumberTable attribute (§4.7.8), and in the LocalVariableTable attribute (§4.7.9).
- The greatest number of local variables in the local variables array of a frame created upon invocation of a method is limited to 65535 by the size of the max\_locals item of the Code attribute (§4.7.3) giving the code of the method. Note that values of type long and double are each considered to reserve two local variables and contribute two units toward the max\_locals value, so use of local variables of those types further reduces this limit.
- The number of fields that may be declared by a class or interface is limited to 65535 by the size of the Field ds\_count item of the ClassFile structure (§4.1). Note that the value of the Field ds\_count item of the ClassFile structure does not include fields that are inherited from superclasses or superinterfaces.
- The number of methods that may be declared by a class or interface is limited to 65535 by the size of the methods\_count item of the ClassFile structure (§4.1). Note that the value of the methods\_count item of the ClassFile structure does not include methods that are inherited from superclasses or superinterfaces.
- The number of direct superinterfaces of a class or interface is limited to 65535 by the size of the interfacescount item of the ClassFile structure (§4.1).
- The size of an operand stack in a frame (§3.6) is limited to 65535 values by the max\_stack field of the Code\_attribute structure (§4.7.3). Note that values of type long and Double are each considered to contribute two units toward the max\_stack value, so use of values of these types on the operand stack further reduces this limit.

- • The number of local variables in a frame (§3.6) is limited to 65535 by the max\_locals field of the Code\_attribute structure (§4.7.3) and the 16-bit local variable indexing of the Java virtual machine instruction set.
- The number of dimensions in an array is limited to 255 by the size of the dimensions opcode of the multianewarray instruction and by the constraints imposed on the multianewarray, anewarray, and newarray instructions by \$4.8.2.
- The number of method parameters is limited to 255 by the definition of a method descriptor (§4.3.3), where the limit includes one unit for this in the case of instance or interface method invocations. Note that a method descriptor is defined in terms of a notion of method parameter length in which a parameter of type long or Double contributes two units to the length, so parameters of these types further reduce the limit.
- The length of field and method names, field and method descriptors, and other constant string values is limited to 65535 characters by the 16-bit unsigned length item of the CONSTANT\_Utf8\_info structure (§4.4.7). Note that the limit is on the number of bytes in the encoding and not on the number of encoded characters. UTF-8 encodes some characters using two or three bytes. Thus, strings incorporating multibyte characters are further constrained.